

Appendix table

Workers' Compensation Division penalty statistics

Penalty type	FY 2001				FY 2002				FY 2003				FY 2004			
	Assessed		Collected		Assessed		Collected		Assessed		Collected		Assessed		Collected	
	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount	Total #	Dollar amount
Late Filing of 1st Report (M.S. 176.231)	733	\$297,750	647	\$261,676	601	\$240,375	524	\$208,900	560	\$219,125	471	\$183,963	532	\$218,250	446	\$182,588
Late 1st Payment (M.S. 176.221)	1,763	\$883,237	1,695	\$598,017	1,480	\$759,866	1,442	\$507,858	1,196	\$660,908	1,126	\$421,059	1,290	\$655,197	1,251	\$425,228
Late Denial (M.S. 176.221)	651	\$447,250	520	\$314,518	577	\$389,500	534	\$320,925	476	\$324,000	405	\$240,175	392	\$236,250	325	\$177,575
Prohibited Practices (M.S. 176.194)	153	\$648,000	105	\$392,400	85	\$327,000	96	\$229,400	67	\$279,000	58	\$167,850	74	\$294,000	59	\$166,075
Rehabilitation Provider Discipline (M.S. 176.102)	4	\$1,800	9	\$5,075	17	\$10,450	19	\$10,250	3	\$1,350	3	\$1,350	1	\$450	1	\$450
Managed Care Organization Discipline (M.S. 176.1351)	0	\$0	0	\$0	1	\$2,500	1	\$2,500	0	\$0	0	\$0	1	\$2,000	1	\$2,000
Health Care Provider Discipline (M.S. 176.103)	0	\$0	0	\$0	3	\$3,000	3	\$3,000	0	\$0	0	\$0	2	\$900	2	\$900
Failure To Insure (M.S. 176.181)	416	\$2,585,083	355	\$413,132	427	\$2,217,275	395	\$510,370	387	\$2,161,847	368	\$476,103	205	\$2,329,931	291	\$561,050
Late Filing of Special Fund Assessment (M.S. 176.129 & 176.130)	131	\$275,843	108	\$216,607	106	\$173,528	81	\$108,313	134	\$225,391	93	\$126,373	130	\$230,636	149	\$231,652
* Other Penalties (M.S. 176.221, 176.225, 176.138, 176.231, 176.238, & 176.84)	202	\$138,330	173	\$46,519	119	\$91,156	92	\$33,441	145	\$139,402	126	\$46,054	163	\$94,920	138	\$42,300
TOTALS	4,053	\$5,277,293	3,612	\$2,247,944	3,416	\$4,214,650	3,187	\$1,934,957	2,968	\$4,011,022	2,650	\$1,662,927	2,790	\$4,062,534	2,663	\$1,789,818

*These penalties could include: nonspecificity of notices, improper payment of permanent partial disability, late payment of orders, improper discontinuance, frivolous denial, late payment of medical expenses, failure to release medical data, late payment of ongoing benefits, failure to file other required reports and late payment of rehabilitation expenses.

Notes: Penalties pursuant to M.S. 176.225, which are payable to the employee, have been included in the assessed amounts only. All dollar amounts have been rounded to the nearest whole dollar.